

DOCKET NO.: MSFT-0312/164268.1

COPY OF PAPERS  
ORIGINALLY FILED

PATENT

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

FEB 06 2002

Technology Center 2100

Inventor Application of:

Marcus Peinado et al.

Serial No.: 09/892,329

Group Art Unit: 2161

Filing Date: June 27, 2001

Examiner: Not Yet Assigned

For: SECURE PROCESSOR ARCHITECTURE FOR USE WITH A DIGITAL  
RIGHTS MANAGEMENT (DRM) ON A COMPUTING DEVICE

DATE OF DEPOSIT:

Dec 3 2001

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON  
THE DATE INDICATED ABOVE AND IS ADDRESSED TO  
THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231

TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith.

## EXCEPT THAT:

- ☐ In view of the voluminous nature of references **[list as appropriate]**, and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

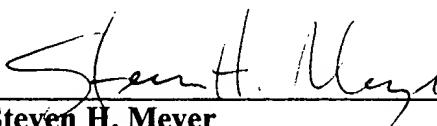
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

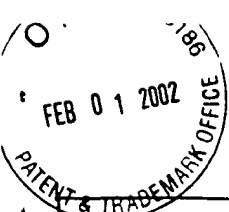
Date:

Dec 3, 2001

  
Steven H. Meyer  
Registration No. 37,189

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

• 2001 WW



#2

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-0312/164268.1</b>	Serial No. <b>09/892,329</b>
	Applicant <b>Marcus Peinado et al.</b>	
	Filing Date <b>June 27, 2001</b>	Group <b>2161</b>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	<b>AA</b>	Anderson, R. et al., "Tamper Resistance – A Cautionary Note," <i>Proc. of the 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, California, <b>Nov. 1996</b> , 1-11
	<b>AB</b>	Clark, P. et al., "BITS: A Smartcard Protected Operating System," <i>Comm. Of the ACM</i> , <b>Nov. 1994</b> , 37(11), 66-70 and 94
	<b>AC</b>	Gilmont, T. et al., "An Architecture of Security Management Unit for Safe Hosting of Multiple Agents," <i>IS&amp;T/SPIE Conf. On Security and Watermarking of Multimedia Contents</i> , San Jose, California, <b>Jan. 1999</b> , <i>SPIE Vol. 3657</i> , 472-483
	<b>AD</b>	Schneck, P. B., "Persistent Access Control to Prevent Piracy of Digital Information," <i>Proc. of the IEEE</i> , <b>July 1999</b> , 87(7), 1239-1250

**RECEIVED**

FEB 06 2002

Technology Center 2100

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------